Best SEC Filing Trackers for Stock Dilution (2026 Comparison)

SEC - Tools & Comparisons - September 27, 2026

Compare the best SEC filing trackers for retail investors. TraderTools, FlashSEC, and others tested on EDGAR scanning speed, alert accuracy, and dilution risk analysis.

If you trade small-cap or micro-cap stocks, tracking SEC filings manually is not a viable strategy. Institutional traders have automated systems that alert them within seconds of a new filing - by the time you read about it on social media or a financial news site, the stock has often already repriced. Here's an honest look at your options.

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Why Real-Time SEC Tracking Matters

SEC filings are published on EDGAR, but EDGAR itself doesn't send alerts. Each filing can have immediate price impact: a 424B5 prospectus supplement signals an active offering (price drops), an 8-K with a Securities Purchase Agreement signals new toxic financing (price drops), and a DEF 14A with a reverse split vote signals potential delisting risk (price drops).

The institutions know immediately. Retail investors who rely on daily news summaries or manual checking often find out hours or days later, after the damage is done. Real-time monitoring closes that gap.

Tools Compared

TraderTools (tradertools.com)

TraderTools is a purpose-built dilution monitoring platform that polls EDGAR every 60 seconds, covers 8,500+ active tickers, and scores each with a composite Dilution Risk Score (0-100). Beyond alerts, it provides context: what type of filing, what it means for dilution risk, how it changes the score. Free tier available (3 tracked tickers).

DiluTracker (dilutracker.com)

DiluTracker focuses specifically on dilution-related filings - shelf registrations, S-1s, and prospectus supplements. It has an established community following, particularly among OTC and micro-cap traders. Polling speed and full coverage details are not publicly disclosed.

SEC EDGAR Full-Text Search

EDGAR's EFTS (full-text search) lets you search filing content, and EDGAR has an RSS feed system. But there's no alerting for specific tickers or filing types beyond basic email notifications. It's free and comprehensive, but requires manual setup and monitoring.

Finviz (finviz.com)

Finviz is a stock screening tool with SEC filing notifications in its paid tier. It covers major news events and some filing types, but it's not purpose-built for dilution monitoring. Its strength is screening and charting, not SEC filing depth.

SEC EDGAR Company Alerts (free)

EDGAR offers free email alerts when a company files any new document. Go to edgar.sec.gov, navigate to a company's page, and subscribe. You'll get an email for every filing with no filtering or prioritization. Useful for companies you monitor closely, but not scalable for a multi-ticker watchlist.

Side-by-Side Comparison

Feature

TraderTools

DiluTracker

EDGAR Alerts

Finviz Elite

Alert speed

~60 seconds

Not disclosed

Minutes-hours

Not SEC-focused

Ticker coverage

8,500+

Not disclosed

All filers

Major exchanges

Dilution risk score

Yes (0-100)

No

No

No

Filing types tracked

25+

Primarily S-3, 424B

All types

Limited

Free tier

Yes (3 tickers)

Limited

Yes (unlimited)

No

Paid pricing

$19.95-$39.95/mo

Check site

Free

$39.50/mo

Mobile-friendly

Yes

Yes

Email only

Yes

Best for

Dilution-focused traders

OTC dilution traders

Deep EDGAR research

General screening

Free vs Paid Options

For most retail investors tracking 3-10 stocks, a combination of TraderTools's free tier (3 tickers with a weekly watchlist brief and risk scoring) and EDGAR's free email alerts (for additional tickers) covers the basics at no cost.

Power users tracking 20+ tickers, trading actively on SEC filing events, or needing API access should consider a paid TraderTools plan for consolidated alerts and risk scoring.

What Filing Types to Track

For dilution-focused monitoring, prioritize these filing types:

* 424B3 / 424B5 - Active offerings and ATM programs
* S-3 / S-3/A - Shelf registrations and amendments
* 8-K Item 1.01 / 8.01 - Material agreements including PIPE/convertible notes
* DEF 14A - Proxy votes on share authorizations and reverse splits
* 10-Q / 10-K - Cash runway and going-concern disclosures

Recommendation by Use Case

* Casual retail investor tracking a few positions: TraderTools free tier + EDGAR email alerts
* Active trader focused on small-cap/OTC: TraderTools paid tier for consolidated timely alerts
* Researcher or analyst: EDGAR full-text search + TraderTools for risk scoring context
* General stock screener who wants some SEC visibility: Finviz Elite as a secondary tool

Why SEC Filing Monitoring is Critical for Dilution Risk Management

While many investors focus on stock price movements or earnings reports, dilution risk often lurks in the background-hidden within SEC filings. Understanding how companies issue new shares or exercise warrants can drastically impact shareholder value. The SEC EDGAR database is the primary source for this data, but not all investors have the time or technical expertise to parse through hundreds of documents manually.

Background: The Hidden Dangers of Dilution

Stock dilution occurs when a company issues new shares, reducing the ownership percentage of existing shareholders. This can happen through equity offerings, warrant exercises, or reverse splits-each with different implications. For example, a company might issue shares under a shelf registration (tracked via our Shelf & ATM Monitor) to raise capital for expansion, but if the offering is large relative to current market cap, it can significantly dilute existing investors.

Real-World Investor Implications

Imagine an investor holds 1,000 shares in a company with 1 million outstanding shares. If the company issues another 500,000 new shares through a PIPE deal (tracked via our PIPE Deal Tracker), that investor's ownership drops from 0.1% to 0.067%. This kind of dilution can be subtle but devastating over time. Tracking these filings in real-time is essential for active traders and long-term investors alike.

How TraderTools Enhances Dilution Monitoring

TraderTools aggregates SEC data into actionable insights, helping investors stay ahead of dilution events before they impact their portfolios. Our TraderTools and allow users to filter companies by dilution risk levels, while our Warrant Tracker highlights potential future dilution from warrant exercises. These tools turn raw EDGAR data into clear, digestible signals.

Key Concepts Investors Should Understand

* Shelf Registrations: Allow companies to issue shares over time without immediate filing.
* ATM (At-The-Market) Offerings: Automated share sales, often used for funding operations.
* Warrants & PIPE Deals: Instruments that can dramatically increase share count if exercised.

Real-time SEC filing monitoring isn't just about staying informed-it's about making smarter investment decisions before dilution hits your portfolio.

With tools like TraderTools, investors can stay ahead of the curve and use data-driven insights to protect their holdings from unexpected dilution events.

Try TraderTools Free

3 free tickers with a weekly watchlist brief and DilutionScore? risk scoring. No credit card required. Upgrade when you need more coverage.

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